30107-1-03182014-1-1

COMPANY#

LOCAL TELEPHONE COMPANY

CLEC TXC

# **ANNUAL REPORT**

OF THE

NAME	Windstream Communications, Inc. Windstream
	(Here show in full the exact corporate, firm or individual name of the respondent)
LOCATED AT	4001 Rodney Parham Rd, Little Rock, AR 72212
(Here giv	re the location, including street and number of the respondent's main business office within the State)

(Here give the APSC-assigned company number)

TO THE

### ARKANSAS PUBLIC SERVICE COMMISSION



# **COVERING ALL OPERATIONS**

FOR THE YEAR ENDING DECEMBER 31, 2013

## **LETTER OF TRANSMITTAL**

To:

Arkansas Public Service Commission

	Post Office Box 400 . Little Rock, Arkansas 72203-0400
Sub	omitted herewith is the annual report covering the operation of Windstream Communications, Inc. (Company)
of	4001 Rodney Parham Rd, Little Rock,  AR 72212 for the year ending December 31, 2013. This report is submitted in
-	(Location)
	ordance with Section 51 of Act 324 of the 1935 Acts of Arkansas.  following report has been carefully examined by me, and I have executed the verification given below.
	Saudh Blade (Signature)
	Senior Analyst - Regulatory Compliance (Title)
	VERIFICATION
	VERIFICATION
CO	ATE OF Arkansas ) ) ss.  UNTY OF Pulaski ) the undersigned, Sandra Blade, Senior Analyst Regulatory Compliance of the (Name and Title)
	Windstream Communications, Inc. , on my oath do say that the following report has (Company)
care affa info and	In prepared under my direction from the original books, papers, and records of said utility: that I have befully examined the same, and declare the same a complete and correct statement of the business and hirs of said utility in respect to each and every matter and thing set forth, to the best of my knowledge, armation, and belief; and I further say that no deductions were made before stating the gross revenues, I that accounts and figures contained in the foregoing statements embrace all of the financial issactions for the period in this report.
	Soulla Blade (Signature)
	oscribed and sworn to before me this 10th
day My	of March 2014  Commission Expires February 2, 2019  CHARLENE GROBER PLANSION COUNTY  MOTARY PUBLIC - ARKANSAS  By Commission Expires February 02, 2019  Commission No. 12389633
	Charlene Liblet (Signature of Notary)

#### GENERAL INSTRUCTIONS, DEFINITIONS, ETC.

- Two (2) copies of this report, properly filled out and verified shall be filed with the Utility Division of the Arkansas Public Service Commission, Little Rock, Arkansas, on or before the 31st day of March following the close of the calendar year for which the report is made.
- 2 The word "respondent" in the following inquiries means the person, firm, association or company in whose behalf the report is made.
- 3 If any schedule does not apply to the respondent, such fact should be shown on the schedule by the words "not applicable."
- 4 Except in cases where they are especially authorized, cancellations, arbitrary check marks, and the like must not be used either as partial or entire answers to inquiries.
- Reports should be made out by means which result in a permanent record. The copy in all cases shall be made out in permanent black ink or with permanent black typewriter ribbon. Entries of a contrary or opposite character (such as decreases reported in a column providing for both increases and decreases) should be shown in red link or enclosed in parentheses.
- 6 This report will be scanned in. Please bind with clips only.
- Answers to inquiries contained in the following forms must be complete. No answer will be accepted as satisfactory which attempts by reference to any paper, document, or return of previous years or other reports, other than the present report, to make the paper or document or portion thereof thus referred to a part of the answer without setting it out. Each report must be complete within itself.
- In cases where the schedules provided in this report do not contain sufficient space or the information called for, or if it is otherwise necessary or desirable, additional statements or schedules may be inserted for the purpose of further explanation of accounts or schedules. They should be legibly made on paper of durable quality and should conform with this form in size of page and width of margin. This also applies to all special or unusual entries not provided for in this form. Where information called for herein is not given, state fully the reason for its omission.
- 9 Schedules supporting the revenue accounts and furnishing statistics should be so arranged as to effect a division in the operations as to those inside and outside the state.
- 40 Answers to all inquiries may be in even dollar figures, with cents omitted and with agreeing totals.
- 11 Each respondent should make its report in duplicate, retaining one copy for its files for reference, in case correspondence with regard to such report becomes necessary. For this reason, several copies of the accompanying forms are sent to each utility company concerned.

### REPORT TO ARKANSAS PUBLIC SERVICE COMMISSION

Give the name, title, office address, telephone correspondence concerning this report shoul	number and e-mail address of the person to whom any d be addressed:
Name Sandra Blade	Title Senior Analyst - Regulatory Compliance
Address 4001 Rodney Parham Rd, Little Roo	ek, AR 72212
Telephone Number <u>501-748-6728</u>	<del>_</del>
E-Mail sandra.blade@windstream.com	
Give the name, address, telephone number ar	nd e-mail address of the resident agent:
Name	Telephone Number
Address	<del> </del>
E-Mail	

# IDENTITY OF RESPONDENT

1.	Give the exact name by which respondent was known in law at the close of the year. Use the initi- word "The" only when it is part of the name:			
	Windstream Communications, Inc.			
2.	Give the location (including street and number) of (a) the main Arkansas business office of respondent at the close of the year, and (b) if respondent is a foreign corporation, the main business office if not in this state:			
	(a) 4001 Rodney Parham (b) Little Rock, AR 72212			
3.	Indicate by an $\mathbf{x}$ in the proper space (a) the type of service rendered, and (b) the type of organization under which respondent was operating at the end of the year.			
	<ul> <li>(a) ( ) Electric, ( ) Gas, ( ) Water, ( X ) Telephone, ( ) Other Includes CLEC and Interexchange Carrier Services</li> <li>(b) ( ) Proprietorship, ( ) Partnership, ( ) Joint Stock Association, ( X ) Corporation, ( ) Other (describe below):</li> </ul>			
<ol> <li>If respondent is not a corporation, give (a) date of organization, and (b) name of the p the names of all partners, and the extent of their respective interest at the close of the</li> </ol>				
	(a) not applicable			
	(b)			
5.	If a corporation, indicate (a) in which state respondent is incorporated, (b) date of incorporation, and (c) designation of the general law under which respondent was incorporated, or, if under special charter, the date of passage of the act:			
	(a) Delaware			
	(b) November 2, 2005			
	(c) The General Corporation Law of Delaware, Title 8 of the Delaware Code			
6,	State whether or not respondent during the year conducted any part of its business within the State of Arkansas under a name or names other than that shown in response to inquiry No. 1 above, and, if so, give full particulars:			
	not applicable			

### REPORTATO ARKANSAS PUBLIC SERVICE COMMISSION

τ.	for each consolidation or merger, (b) name all constituent and merged companies, and (c) give like particulars as required of the respondent in inquiry No. 5 above:	
	(a) Windstream Communications, Inc. is 100% owned by Windstream Corporation.	
	(b)	
	(c)	
8.	State whether respondent is a reorganized company. If so, give (a) name of original corporation, (b) date of reorganization, (c) reference to the laws under which it was reorganized and (d) state the occasion of the reorganization, whether because of foreclosure of mortgage or otherwise, giving full particulars.	
	(a) NO	
	(b)	
	(c)	
	(d)	
9.	Was respondent subject to a receivership or other trust at any time during the year?  No. 15 so, state:	10
	(a) Name of receiver or trustee:	
	(b) Name of beneficiary or beneficiaries for whom trust was maintained:	
	(c) Purpose of the trust:	
	(d) Give (1) date of creation of receivership or other trust, and (2) date of acquisition of respondent:  (1) (2)	
10.	Did the respondent act in any of the capacities listed in Paragraph (a) below during the past year? NO If so,	
	(a) Indicate the applicable one by an X in the proper space:	
	<ul> <li>( ) Guarantor,</li> <li>( ) Surety,</li> <li>( ) Principal—obligor to a guaranty contract.</li> </ul>	
	(b) Insert a statement showing the character, extent, and terms of the primary agreement or obligation, including (1) names of all parties involved, (2) extent of liability of respondent, whether contingent or actual, (3) extent of liabilities of the other parties, whether contingent or actual, and (4) security taken or offered by respondent.	

#### REPORT TO ARKANSAS PUBLIC SERVICE COMMISSION

#### **DIRECTORS**

Give the name and office addresses of all directors at the close of the year, and dates of beginning and expiration of terms. Chairman (\*) and Secretary (\*\*) marked by asterisks.

Name of Diverter	Office Address	Date of Term	
Name of Director	Office Address	Beginning	End
Jeffrey R Gardner *	4001 Rodney Parham Rd, Little Rock, AR 72212	7/16/06	Present
John P. Fletcher, **	4001 Rodney Parham Rd, Little Rock, AR 72212	7/16/06	Present

### PRINCIPAL OFFICERS AND KEY MANAGEMENT PERSONNEL

Give the title of the principal officers, managers and key personnel, the names and office addresses of persons holding such positions at the close of the year.

Title	Name of person holding office at close of year	Office Address
President & Chief Executive Officer Chief Operating Officer Chief Financial Officer EVP, General Counsel, & Secretary EVP & Chief Human Resources Officer Senior VP - Consumer Services Senior VP - Treasurer Controller Vice President - Tax Director Regulatory Reporting	Jeffrey R. Gardner Brent Whittington Anthony W. Thomas John P. Fletcher James D. Works David Redmond Robert Gunderman John C Eichler Willis Kemp Timothy P. Loken	St.

GROSS ASSESSABLE REVENUES	
Description	Amount
ARKANSAS GROSS ASSESSABLE REVENUES (excluding Interstate Tolls)	\$34,241,092

### LOCAL EXCHANGE SERVICE STATISTICS

ACCESS LINES	ARKANSAS	
Residence	128	
Business	53,223	
TOTAL RESIDENTIAL & BUSINESS ACCESS LINES	53,351	
PBX Access Lines	571	
Coin or Credit Card Paystation Access Lines		
Company Official Access Lines (Numbers)	3,256	
TOTAL ACCESS LINES	57,178	

## STATEMENT OF ACCURACY

I do hereby state that the amounts contained in this report are true and accurate, schedules have been cross-referenced by use of the attached check list, and that the accuracy of all totals has been verified by me or under my supervision. Should I or anyone under my supervision become aware of any error in or omission from this report, I will take steps to notify the Arkansas Public Service Commission of such error or omission and provide corrected schedules as soon as possible.

School Blade
Senior Analyst - Regulatory Compliance

## REPORT TO ARKANSAS PUBLIC SERVICE COMMISSION

Company Information		
Company Name	Windstream Communications, Inc.	
dba		
Official Mailing Address	4001 Rodney Parham Rd, Little Rock, AR 72212	

AREA	PERSON TO CONTACT	PHONE #	FAX#	E-MAİL
Annual Report	Sandra Blade	501-748-6728		sandra.blade@windstream.com
Fuel Adjustment Report		<u>-</u>		
Cost of Debt Report				
Tariffs	Chris Cranford	501-748-6856	501-748-6583	
Accounting	John Eichler	501-748-5527		
Rates	Chris Cranford	501-748-6856	501-748-6583	
Engineering	William Bellando	501-748-7125		
Finance	Anthony Thomas	501-748-7821		
Income Taxes	Scott Wheeler	501-748-5316		
Property Taxes	Scott Wheeler	501-748-5316		
Gas Supply				
Legal	John Fletcher	501-748-7900	501-748-7400	
Data Processing				

Please list the number of utility employees located in Arkansas	63